

Huaizhi Chen

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Academic Employment

University of Notre Dame, Mendoza School of Business

Assistant Professor of Finance	2018 - Present
Faculty Fellow at the Notre Dame Institute for Global Investing	2019 - Present

Harvard University, Harvard Business School

Post-Doctoral Fellow	2016 - 2018
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Education

London School of Economics and Political Science

PhD in Finance	2016
Msc. in Finance and Economics	2010

Case Western Reserve University

MS, Applied Mathematics	2009
BS/BA, Mathematics, Economics	2006

Publications (Does *Not* Include Coauthor Presentations)

Cash Induced Demand

Accepted at the *Journal of Financial and Quantitative Analysis*

Presentations: Ohio State University (2017), American University (2018), University of Delaware (2018), Northeastern University (2018), University of Notre Dame (2018), AQR Capital (2018), FMA Asia (2018), Behavioural Finance Working Group Conference (2018), Southern Finance Association Meeting (2018), AFA Annual Meeting (2019), Midwestern Finance Meeting (2019)

Don't Take Their Word For It: The Misclassification of Bond Mutual Funds

with Lauren Cohen and Umit Gurun

Journal of Finance, August 2021

Presentations: University of Notre Dame Brown Bag (2019), RAPS/RCFS Winter Conference (2020), Consortium on Asset Management in Cambridge (2020), London School of Economics Lunch Time Workshop (2020), FSU SunTrust Beach Conference (2020)*, 8th Fixed Income and Financial Institutions Conference (2020), 2nd Future of Financial Information Conference at Stockholm Business School (2020), European Finance Association Annual Meeting (2020), Northern Finance Association Annual Meeting (2020), Financial Management Association Annual Meeting (2020), NBER Asset Pricing (Fall 2020), Southern Finance Association Meeting (2020), Paris December Meeting (2020), American Financial Association Annual Meeting (2021). (* Cancelled due to Covid-19)

Awards: 2022 Notre Dame Mission Award, 2020 Consortium on Asset Management Best Paper Award, Best Paper Award in the Investment Area (FMA 2020)

IQ from IP: Simplifying Search in Portfolio Choice

with Lauren Cohen, Umit Gurun, Dong Lou, and Christopher Malloy

Journal of Financial Economics, May 2020

Presentations: 6th Luxembourg Asset Management Summit (2017), American Finance Association Annual Meeting (2018)

Awards: 2017 IQ-KAP 2nd Best Paper Prize, 2018 Panagora A. Crowell Prize (1st Place)

Industry Window Dressing

with Lauren Cohen and Dong Lou

Review of Financial Studies, April 2016

Working Papers (Does Not Include Coauthor Presentations)

Assessing Assessors (In progress)

with Lauren Cohen

Presentations: University of Notre Dame Brown Bag (2021)

Exchange Offerings That You Can't Refuse (In progress)

with Michela Verardo

Self-Declared Benchmarks and Fund Manager Intent: Cheating or Competing?

with Richard Evans and Yang Sun

Presentations: University of Notre Dame Brown Bag (2022), Eastern Finance Association Meeting (2023)

Awards: Best Paper in Investments at the Eastern Finance Association Meeting (2023)

Revise and Resubmit at the *Journal of Financial Economics*

The Demand for Large Stocks

(Formerly *Risk Management and Price Pressure*)

Presentations: Case Western Reserve University (2016), University of Notre Dame (2016), University of Hong Kong (2016), Hong Kong University of Science and Technology (2016), Nanyang Technology University (2016), Hong Kong University of Science and Technology (2016), Tulane University (2016), Wabash River Conference (2018), Arrowstreet Capital (2023), International Risk Management Conference (2023), European Finance Association Meeting (2023)

Calling All Issuers: The Market for Debt Monitoring

with Lauren Cohen and Weiling Liu

Presentations: University of Notre Dame Brown Bag (2020), Virtual Municipal Finance Workshop (2020), Brookings Municipal Finance Conference (2021)

Revise and Resubmit at *Management Science*

Investor Competition and Cost of Capital

Presentations: University of Notre Dame Brown Bag (2020), Virtual Finance Seminar (University of Illinois at Chicago/ Michigan State University 2021), Virtual Finance Seminar (University of Bristol/ University of Exeter/ University of Lancaster/ University of Manchester 2021), Financial Markets and Corporate Governance Conference (2021)

Seminar and Conference Presentations (Does Not Include Coauthor Presentations)

Arrowstreet Capital, Eastern Finance Association (Asheville), International Risk Management Conference, European Finance Association (Amsterdam), Bristol Financial Markets Conference 2023

American Finance Association (Chicago), Virtual Finance Seminar (University of Illinois at Chicago/ Michigan State University), Virtual Finance Seminar (University of Bristol/ University of Exeter/ University of Lancaster/ University of Manchester), Financial Markets and Corporate Governance Conference, Brookings Institution's 10 th Annual Municipal Finance Conference, Financial Management Association Annual Meeting	2021
RAPS/RCFS Winter Conference, Cambridge Consortium on Asset Management, FSU SunTrust Beach Conference*, University of South Carolina's 8th Fixed Income and Financial Institutions Conference, Second Future of Financial Information Conference at Stockholm Business School, European Finance Association Annual Meeting, Northern Finance Association Annual Meeting, Financial Management Association Annual Meeting, NBER Asset Pricing, Southern Finance Association Meeting	2020
American Finance Association (Atlanta), Midwestern Finance Association Meeting	2019
American Finance Association (Philadelphia), Financial Management Association Asia (Hong Kong), Behavioural Finance Working Group Conference (London), Southern Finance Association Meeting, Wabash River Conference	2018
6th Luxembourg Asset Management Summit	2017
SFS Cavalcade	2014

(* Cancelled due to Covid-19)

Discussions

Cornell ESG Investing Research Conference, Eastern Finance Association Meetings	2023
Midwestern Finance Association Meetings, European Finance Association Meeting	2022
Financial Markets and Corporate Governance Conference, 6 th SDU Finance Workshop, European Finance Association Meeting	2021
Midwestern Finance Association Meeting, Financial Management Association Meeting, Southern Finance Association Meeting, Paris December Meeting	2020
Midwestern Finance Association Meeting, Western Finance Association Meeting	2019
Financial Management Association Asia (Hong Kong), CICF (Tianjin), Behavioural Finance Working Group	2018
American Finance Association (Chicago)	2017
American Finance Association (San Francisco)	2016
Eighth Annual Paul Woolley Conference	2015

Referee Work

Financial Management, Journal of Banking and Finance, Journal of Economic Behavior and Organization, Journal of Empirical Finance, Journal of Finance, Journal of Financial and Quantitative Analysis, Management Science, Review of Finance, Review of Financial Studies, Quarterly Journal of Finance

Program Committees

Western Finance Association Meeting (2023), Western Finance Association Meeting (2022),
Midwestern Finance Association Meeting (2022), Financial Management Association Meeting
(2021), Western Finance Association Meeting (2021), Midwestern Finance Association Meeting
(2021)

Awards and Honors

2023 Best Discussant Award (Cornell ESG Investing Conference)
2023 Best Paper in Investments (Eastern Finance Association Meeting)
2022 Notre Dame Mission Award
2020 Consortium on Asset Management Best Paper Award
2020 Best Paper Award in the Investment Area (FMA)
2018 Panagora A. Crowell Prize (1st Place)
2017 IQ-KAP 2nd Best Paper Prize