

Huaizhi Chen

University of Notre Dame
238 Mendoza College of Business
Notre Dame, IN 46556

website: www.huaizhichen.com
email: hchen11@nd.edu
phone: 574-631-3385

Employments

Public Company Accounting Oversight Board Senior Advisor	2024 - Present
University of Notre Dame, Mendoza School of Business Assistant Professor of Finance	2018 - Present
Faculty Fellow at the Notre Dame Institute for Global Investing	2019 - Present
Harvard University, Harvard Business School Post-Doctoral Fellow	2016 - 2018

Education

London School of Economics and Political Science PhD in Finance	2016
Msc in Finance and Economics	2010
Case Western Reserve University MS, Applied Mathematics	2009
BS/BA, Mathematics, Economics	2006

Awards and Honors

- Winner of the Eastern Finance Association Best Paper Award in Investments, 2023
- Winner of the Best Discussant Award at the Cornell University ESG Investing Conference, 2023
- Winner of the Notre Dame Mission Award that Exemplifies the Imperative to “Grow the Good in Business”, 2022
- Winner of the Financial Management Association Best Paper Prize in Quantitative Investments, 2020
- Winner of the Best Paper Prize at the University of Cambridge Consortium on Asset Management, 2020
- Winner of the First Prize, Crowell Memorial Award for Best Paper in Quantitative Investments, 2019
- Winner of the Second Prize Award from the Institute for Quantitative Capital Market Research, 2018

Publications

Calling All Issuers: The Market for Debt Monitoring

with Lauren Cohen and Weiling Liu

Accepted at *Management Science*, 2024

Cash Induced Demand

Journal of Financial and Quantitative Analysis, February 2024

Don't Take Their Word for It: The Misclassification of Bond Mutual Funds

with Lauren Cohen and Umit Gurun

Journal of Finance, August 2021

Awards: 2022 Notre Dame Mission Award, 2020 Consortium on Asset Management Best Paper Award, Best Paper Award in the Investment Area (FMA 2020)

IQ from IP: Simplifying Search in Portfolio Choice

with Lauren Cohen, Umit Gurun, Dong Lou, and Christopher Malloy

Journal of Financial Economics, May 2020

Awards: 2018 IQ-KAP 2nd Best Paper Prize, 2018 Panagora A. Crowell Prize (1st Place)

Industry Window Dressing

with Lauren Cohen and Dong Lou

Review of Financial Studies, April 2016

Working Papers (Does *Not* Include Coauthor Presentations)

The Demand for Large Stocks

(Formerly *Risk Management and Price Pressure*)

Presentations: Case Western Reserve University (2016), University of Notre Dame (2016), University of Hong Kong (2016), Hong Kong University of Science and Technology (2016), Nanyang Technological University (2016), Tulane University (2016), Wabash River Conference (2018), Arrowstreet Capital (2023), International Risk Management Conference (2023), European Finance Association Meeting (2023), Financial Management Association Meeting (2023)

Reject and Resubmit at the *Journal of Financial Economics*

Self-Declared Benchmarks and Fund Manager Intent: Cheating or Competing?

with Richard Evans and Yang Sun

Presentations: University of Notre Dame Brown Bag (2022), Eastern Finance Association Meeting (2023), Wabash River Conference at Indiana University (2023), Bristol Financial Markets Conference (2023), Cambridge Consortium on Asset Management (2024), Southwest Finance Association Meeting (2024)

Awards: Best Paper in Investments at the Eastern Finance Association Meeting (2023)

Revise and Resubmit at the *Journal of Financial Economics*

Assessing Assessors

with Lauren Cohen

Presentations: University of Notre Dame Brown Bag (2021/2024)

ESG in the Family (In Progress)

with Michela Verardo

The Execution Gap of Trading Mutual Funds (In Progress)

with Umit Gurun

When Do Issuers' Equity Prices React to Audit Deficiencies? (In Progress)

with Amir Amel-Zadeh, Martin Schmalz, and Manyun Tang

Investor Competition and Cost of Capital

Presentations: University of Notre Dame Brown Bag (2020), Virtual Finance Seminar (University of Illinois at Chicago/ Michigan State University 2021), Virtual Finance Seminar (University of Bristol/ University of Exeter/ University of Lancaster/ University of Manchester 2021), Financial Markets and Corporate Governance Conference (2021)

Seminar and Conference Presentations

Cambridge Consortium on Asset Management, Southwest Finance Association Meeting, China International Conference in Finance	2024
Arrowstreet Capital, Eastern Finance Association (Asheville), International Risk Management Conference, European Finance Association (Amsterdam), Wabash River Conference, Bristol Financial Markets Conference, Financial Management Association Meeting	2023
American Finance Association (Chicago), Virtual Finance Seminar (University of Illinois at Chicago/ Michigan State University), Virtual Finance Seminar (University of Bristol/ University of Exeter/ University of Lancaster/ University of Manchester), Financial Markets and Corporate Governance Conference, Brookings Institution's 10 th Annual Municipal Finance Conference, Financial Management Association Annual Meeting	2021
RAPS/RCFS Winter Conference, Cambridge Consortium on Asset Management, FSU SunTrust Beach Conference*, University of South Carolina's 8th Fixed Income and Financial Institutions Conference, Second Future of Financial Information Conference at Stockholm Business School, European Finance Association Annual Meeting, Northern Finance Association Annual Meeting, Financial Management Association Annual Meeting, NBER Asset Pricing, Southern Finance Association Meeting	2020
American Finance Association (Atlanta), Midwestern Finance Association Meeting	2019
American Finance Association (Philadelphia), Financial Management Association Asia (Hong Kong), Behavioural Finance Working Group Conference (London), Southern Finance Association Meeting, Wabash River Conference	2018
6th Luxembourg Asset Management Summit	2017
SFS Cavalcade	2014

(* Cancelled due to Covid-19)

Discussions

SFS Cavalcade North America, Southwestern Finance Association Meeting	2024
CHKU-RAPS Conference, Cornell ESG Investing Research Conference, Eastern Finance Association Meetings	2023

Midwestern Finance Association Meetings, European Finance Association Meeting	2022
Financial Markets and Corporate Governance Conference, 6 th SDU Finance Workshop, European Finance Association Meeting	2021
Midwestern Finance Association Meeting, Financial Management Association Meeting, Southern Finance Association Meeting, Paris December Meeting	2020
Midwestern Finance Association Meeting, Western Finance Association Meeting	2019
Financial Management Association Asia (Hong Kong), CICF (Tianjin), Behavioural Finance Working Group	2018
American Finance Association (Chicago)	2017
American Finance Association (San Francisco)	2016
Eighth Annual Paul Woolley Conference	2015

Referee Work

Financial Management, International Journal of Data Science and Analytics, Journal of Banking and Finance, Journal of Economic Behavior and Organization, Journal of Empirical Finance, Journal of Finance, Journal of Financial and Quantitative Analysis, Management Science, Review of Corporate Finance Studies, Review of Finance, Review of Financial Studies, Quarterly Journal of Finance

Program Committees

Southern Finance Association Meeting (2024), Midwestern Finance Association Meeting (2023), Western Finance Association Meeting (2023), Western Finance Association Meeting (2022), Midwestern Finance Association Meeting (2022), Financial Management Association Meeting (2021), Western Finance Association Meeting (2021), Midwestern Finance Association Meeting (2021)